



28/Prior
Art Unit
Docket No. JAB-1267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Embrechts et al.

Serial No. : 09/403,056

Art Unit: 1615

Filed : October 13, 1999

Examiner: G.S. Kishore

For : COMPOSITIONS CONTAINING AN ANTIFUNGAL AND A
PHOSPHOLIPID

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January 6, 2004
(Date of Deposit)

Nichole G. Mangini
(Name of applicant, assignee, or Registered Representative)


(Signature)

January 6, 2004

(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the

national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☒ There are no listed references which are not in the English language.

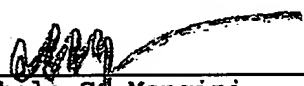
☐ ~~The relevance of those listed references which are~~
not in the English language is as follows:

☒ Attached is a copy of a search report from related PCT Patent Application No. PCT/EP98/02144 (Atty. Docket JAB-1202), which is listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/JAB1267/MGM. This form is submitted in triplicate.

Respectfully submitted,



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Attorney for Applicants

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DATED: January 6, 2004



PTO/SB/08A (08-00)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	09/403,056
Filing Date	October 13, 1999
First Named Inventor	Embrechts et al.
Group Art Unit	1615
Examiner Name	Kishore, G.S.
Attorney Docket Number	JAB-1267

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		5,132,107		Lange	7-21-1992	
		5,456,851		Liu et al.	10-10-1995	
		5,607,980		McAtee et al.	3-04-1997	
		5,747,435		Patel	5-05-1998	
		6,207,142	B1	Odds et al.	3-27-2001	
		4,569,935		Rosenberg et al.	2-11-1986	
		4,209,449		Mayhew et al.	6-24-1980	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ³
		Office ²	Number ⁴	Kind Code ⁵				
		EP	0 399 858	B1	L'oreal	7-08-1992		
		EP	0 468 856	B1	L'oreal	2-17-1993		
		WO	93/18743	A1	Janssen Pharmaceutica N.V.	9-30-1993		
		WO	96/29045	A1	Ramot University Authority for applied Research and Industries Development Ltd.	9-26-1996		

Examiner Signature	Date Considered
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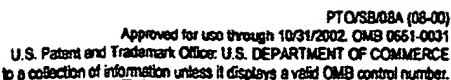
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

(USE AS MANY SHEETS AS NECESSARY)

Sheet 2 of 2

Application Number	09/403,056
Filing Date	October 13, 1999
First Named Inventor	Embrechts et al.
Group Art Unit	1615
Examiner Name	Kishore, G.S.
Attorney Docket Number	JAB-1267

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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PATENT & TRADE

<p align="center">PATENT & TRADE</p> <p align="center">SUBMISSION UNDER MPEP 609 D</p> <p align="center">Page 1 of 1</p>	Application Number	09/403,056
	Filing Date	October 13, 1999
	First Named Inventor	Embrechts et al.
	Group Art Unit	1615
	Examiner Name	Dishore, g.S.
	Attorney Docket Number	JAB-1267

<p align="center">PATENT & TRADE</p> <p align="center">SUBMISSION UNDER MPEP 609 D</p> <p align="center">Page 1 of 1</p>	Application Number	09/403,056
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Examiner Signature		Date Considered	
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